



# 2018 ITCA Finance Survey

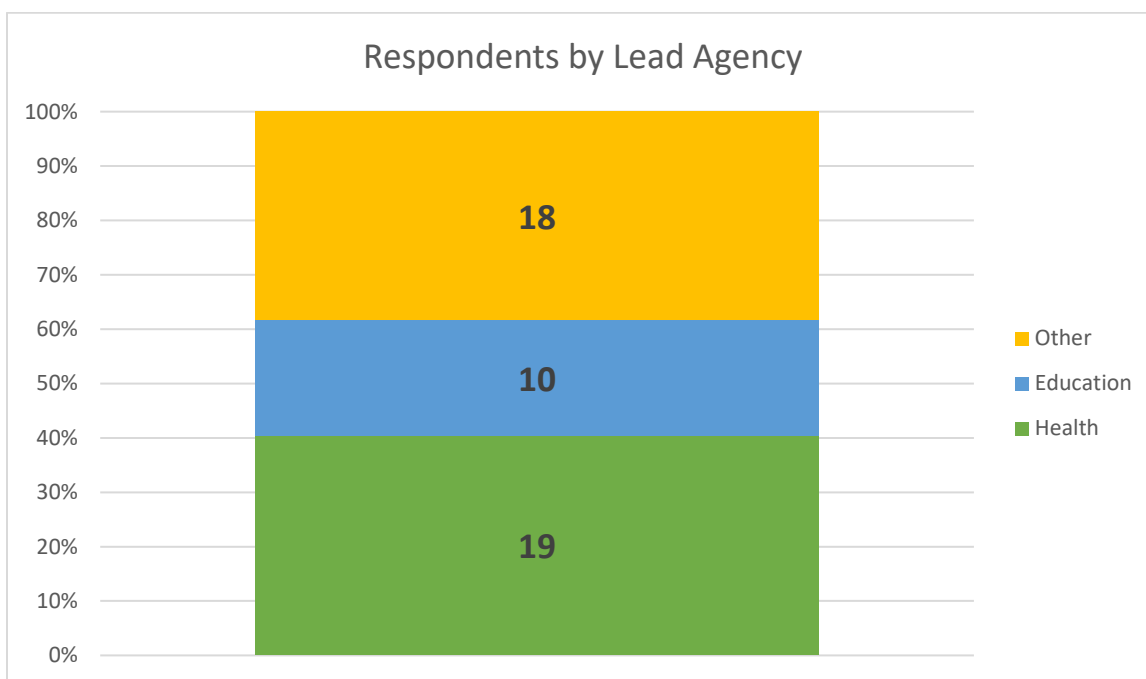
State Systemic Improvement Plan



This is the third of four documents that comprise the 2018 ITCA Finance Survey Report. The report was divided into four parts for ease of accessing information.

## Demographics

The data provided in this report reflects the most current data available from forty-seven of the fifty-six states and jurisdictions (referred to as states in the report). Nineteen states (40%) identified Health as their lead agency. Eighteen states (38%) identified Other as their lead agency and ten states (22%) identified Education as their lead agency.



When compared to all states, states with Health as the lead agency had a response rate of 79%. States with Other as the lead agency had a response rate of 95% and states with Education as the lead agency had a response rate of 77%.

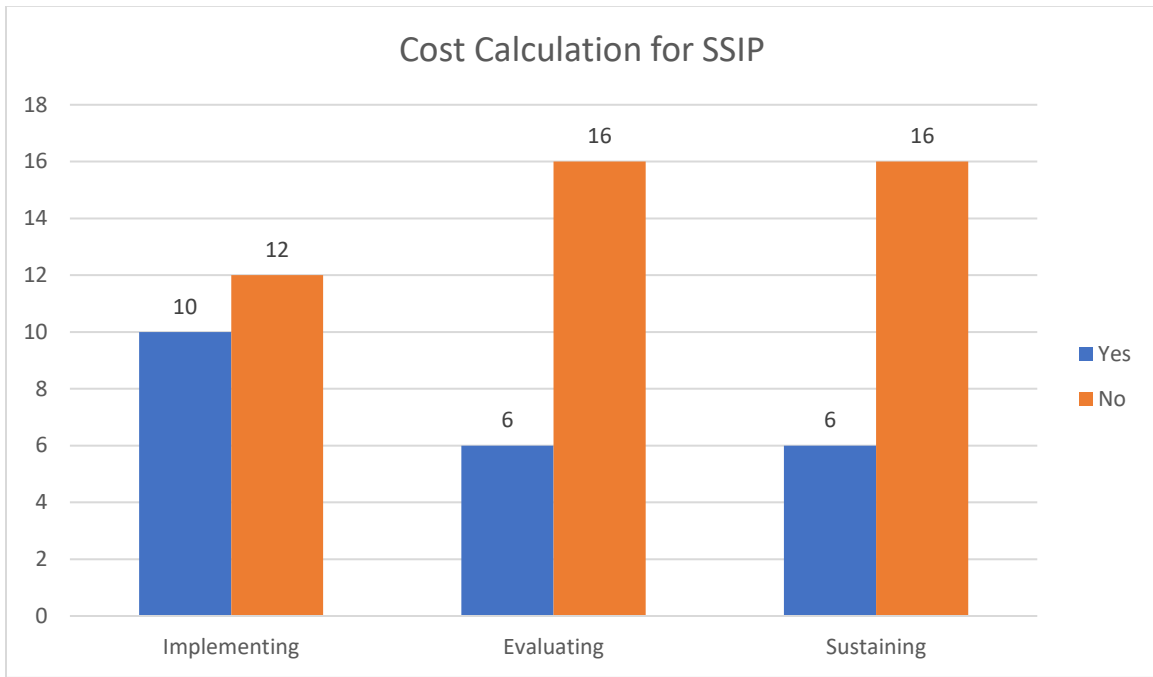
## State Systemic Improvement Plan (SSIP)

The Finance Survey contained several questions regarding the State Systemic Improvement Plan.

### ***1. Have you calculated the cost of implementing, sustaining and evaluating your SSIP initiatives?***

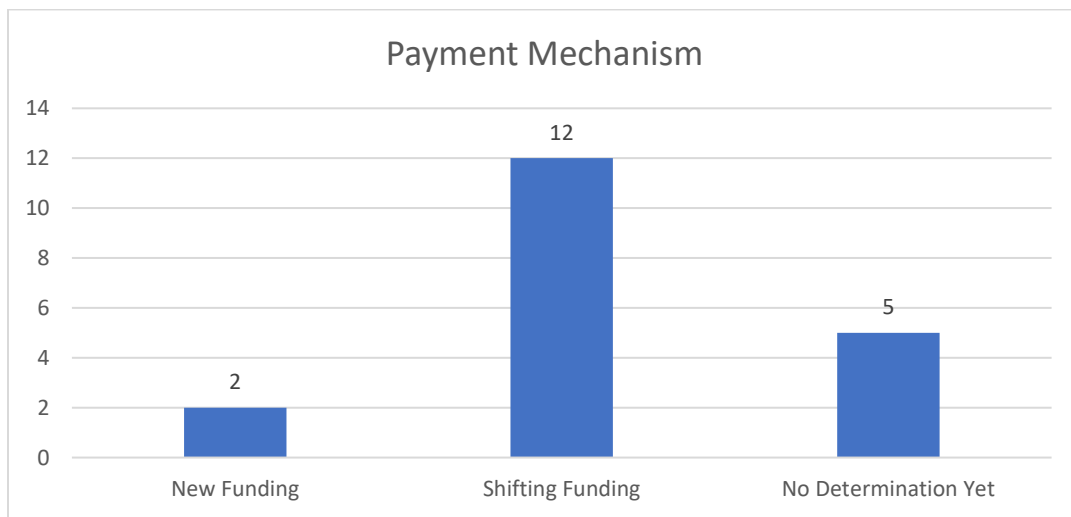
Twenty-two states responded to this question. Ten states (46%) reported they have calculated the cost of implementing their SSIP. Six states (27%) reported they have calculated the cost of

scaling up and sustaining their SSIP; and six states (27%) reported they have calculated the cost of evaluating their SSIP.



## 2. How are you paying for these activities?

Nineteen states responded to this question. Two states (11%) reported they received new funding. Twelve states (63%) reported they are shifting money from other parts of their budget and five states (26%) indicated they have not determined yet how to pay for the activities.



**3. If you are shifting funds in your budget, where are you moving it from?**

Comments:

<ul style="list-style-type: none"> <li>• Absorbing additional workload into already existing state staff positions.</li> </ul>
<ul style="list-style-type: none"> <li>• Covered through FFY 2015 increase in Part C allocation</li> </ul>
<ul style="list-style-type: none"> <li>• CSPD budget has been recalculated, decreasing line items that were regularly underspent, and increasing SSIP line items</li> </ul>
<ul style="list-style-type: none"> <li>• Depends on the area need.</li> </ul>
<ul style="list-style-type: none"> <li>• My state is reviewing its indirect costs methodology and believe funds will be available to support SSIP infrastructure and ongoing costs using those dollars. Currently, our indirect cost percentage is around 26%.</li> </ul>
<ul style="list-style-type: none"> <li>• Moving from services--using more of the federal grant for SSIP, less for services</li> </ul>
<ul style="list-style-type: none"> <li>• Part C dollars</li> </ul>
<ul style="list-style-type: none"> <li>• The cost of the SSIP will be absorbed using existing budget and normal operating funds.</li> </ul>
<ul style="list-style-type: none"> <li>• the SSIP functions are included as part of regular job functions, I expect increased expenditures for evaluation</li> </ul>
<ul style="list-style-type: none"> <li>• Using PD funds already in the budget but repurposing them for SSIP</li> </ul>
<ul style="list-style-type: none"> <li>• We already had Rush and Sheldon in the works and budget, so no shift or new money needed</li> </ul>
<ul style="list-style-type: none"> <li>• We are currently asking staff to include these responsibilities into their current position descriptions.</li> </ul>
<ul style="list-style-type: none"> <li>• We have funds allocated from the Part C IDEA grant but funds for specific activities will be shifted from the larger pot of funds allocated for SSIP activities as implementation and evaluation roll out. Similarly, since some activities that were part of the SSIP are now state work, funds will be moved to support those activities that will support both state infrastructure need and SSIP related needs and costs.</li> </ul>
<ul style="list-style-type: none"> <li>• We have worked to harmonize SSIP initiatives with existing priorities.</li> </ul>
<ul style="list-style-type: none"> <li>• We shifted the focus of staff efforts</li> </ul>

**4. Did you include an improvement strategy or activity related to finance in your Phase II Action Plan? If you answered yes, please specify the strategy and/or activity.**

Twenty-two states responded to this question. Six states (27%) responded they had included activities related to finance. Sixteen states (73%) did not include activities related to finance.

Comments:

<ul style="list-style-type: none"> <li>• Exploring additional avenues for funding activities</li> </ul>
<ul style="list-style-type: none"> <li>• Finalize the state's SOP policy</li> </ul>

- Finance is part of our infrastructure improvement activities but not a specific focus of the coherent improvement strategies.
- My state should have.
- Review and examine allocation formula
- We have a fiscal sustainability plan connected to our SSIP to support implementation of the use of evidence-based practices.
- We will be adding in new improvement activities related to our EPSDT EI SPA in this current phase and future phases.
- You have our logic model

**5. What additional/new costs are you anticipating? Check all that apply.**

Eighteen states responded to this question. Fourteen states (78%) responded that they were anticipating additional costs related to training and technical assistance and data system changes. Five states (28%) identified additional personnel and purchase of materials. Eight states (44%) cited evaluation costs. Two states responded “other” citing contractor for training modules and additional contractors.

