



2018 ITCA Finance Survey

Use of Public and Private Insurance and Family Fees



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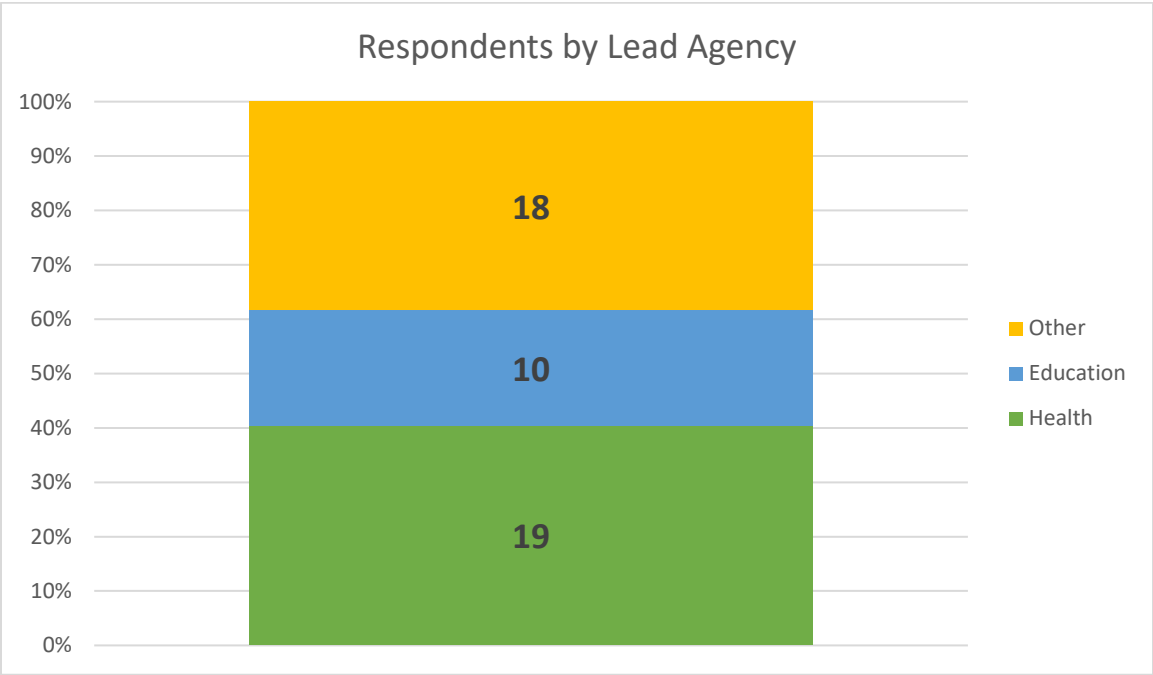
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This is the second of four documents that comprise the 2018 ITCA Finance Survey Report. The report was divided into four parts for ease of accessing information.

Demographics

The data provided in this report reflects the most current data available from forty-seven of the fifty-six states and jurisdictions(referred to as states in the report). Nineteen states (40%) identified Health as their lead agency. Eighteen states (38%) identified Other as their lead agency and ten states (22%) identified Education as their lead agency.



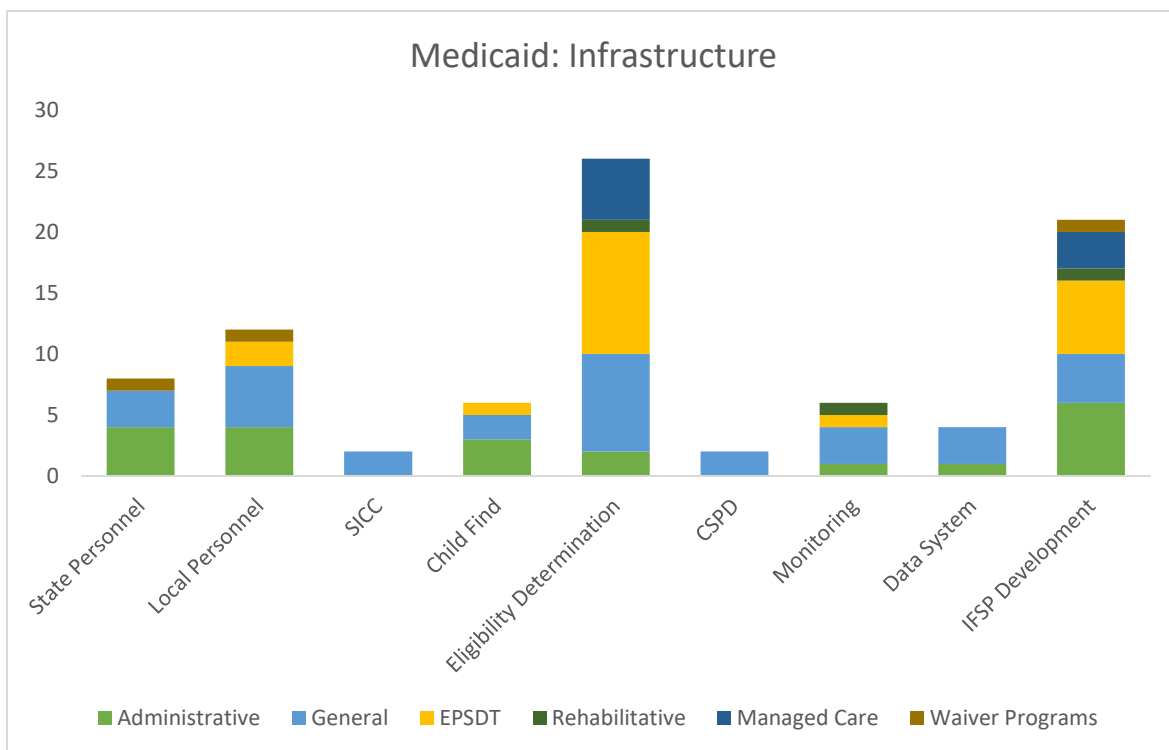
When compared to all states, states with Health as the lead agency had a response rate of 79%. States with Other as the lead agency had a response rate of 95% and states with Education as the lead agency had a response rate of 77%.

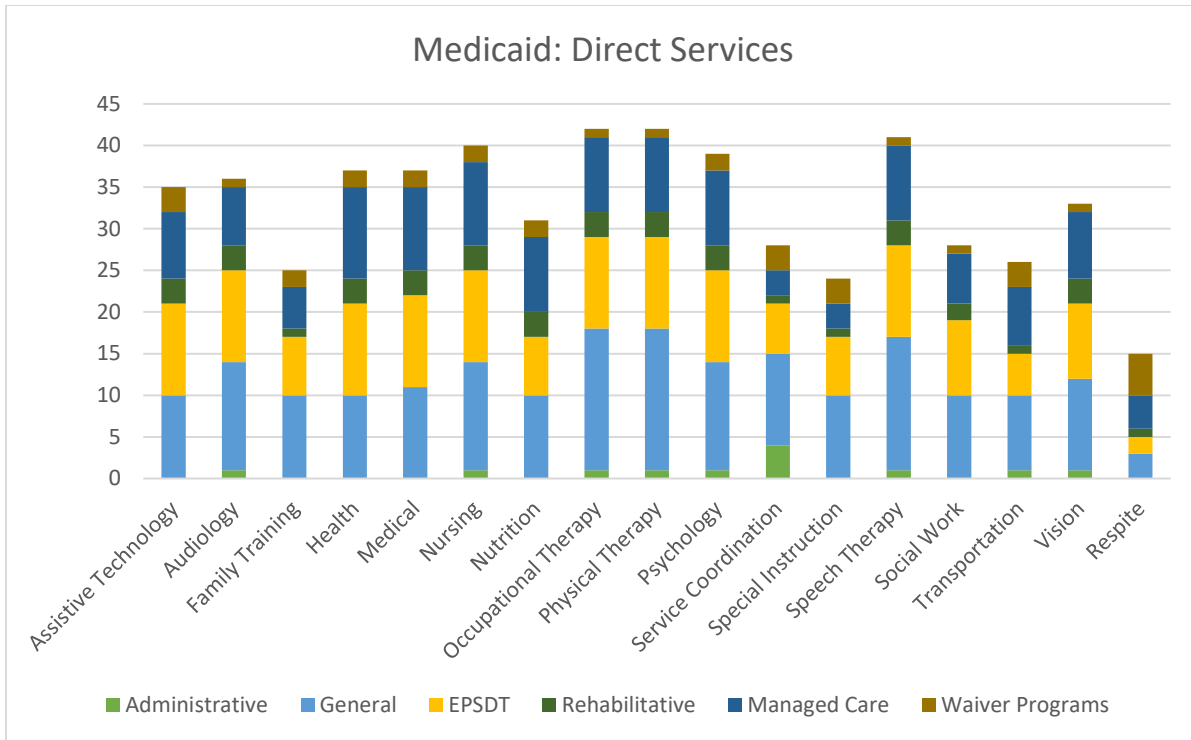
Medicaid Utilization

States were asked a series of questions related to their use of public insurance (Medicaid). Thirty-seven of the forty-seven survey respondents provided information regarding Medicaid. The following charts reflect a summary of responses. Percentages were rounded in the responses and may not always equal 100%. Detailed information regarding Medicaid utilization begins on page 12.

- Please indicate what form of Medicaid you use to support Part C infrastructure and direct services. Check all that apply.***

Twenty-six states were able to identify where Part C was situated in their Medicaid state plans. In some cases, Part C was in more than one location. The charts below capture the responses for both infrastructure and direct services.



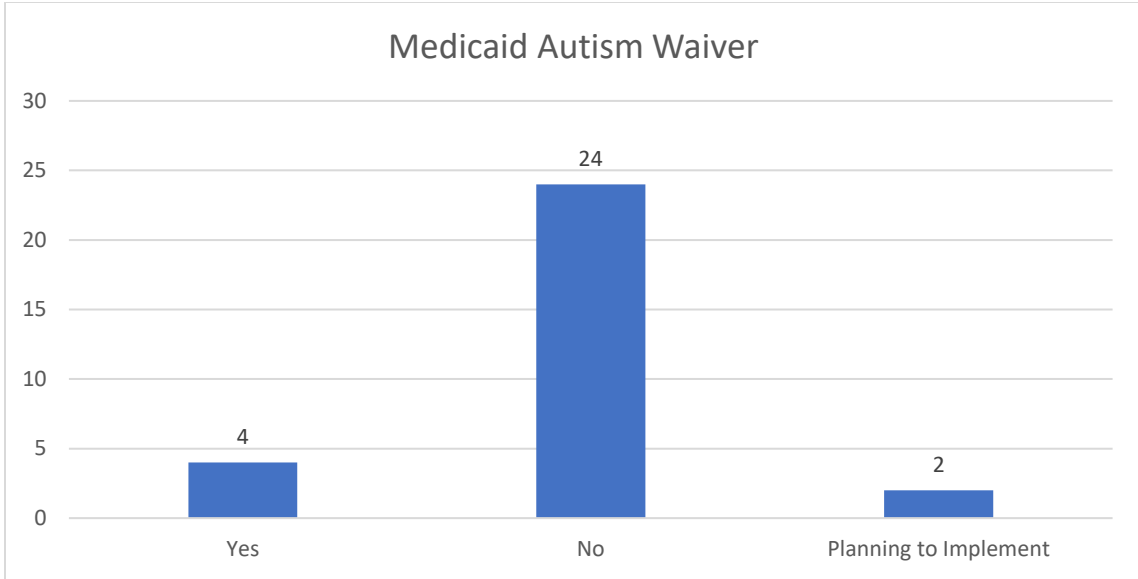


2. If you have a Medicaid waiver, please list the waiver.

- Medicaid Home and Community-based waiver
- 1115 waiver (Global Commitment to Health)
- DD and Medically fragile waiver
- 1915b4 waiver
- Aged and Disabled waiver
- Autism waiver
- Children’s Special Health waiver
- ID/DD waiver (disabled children living at home)

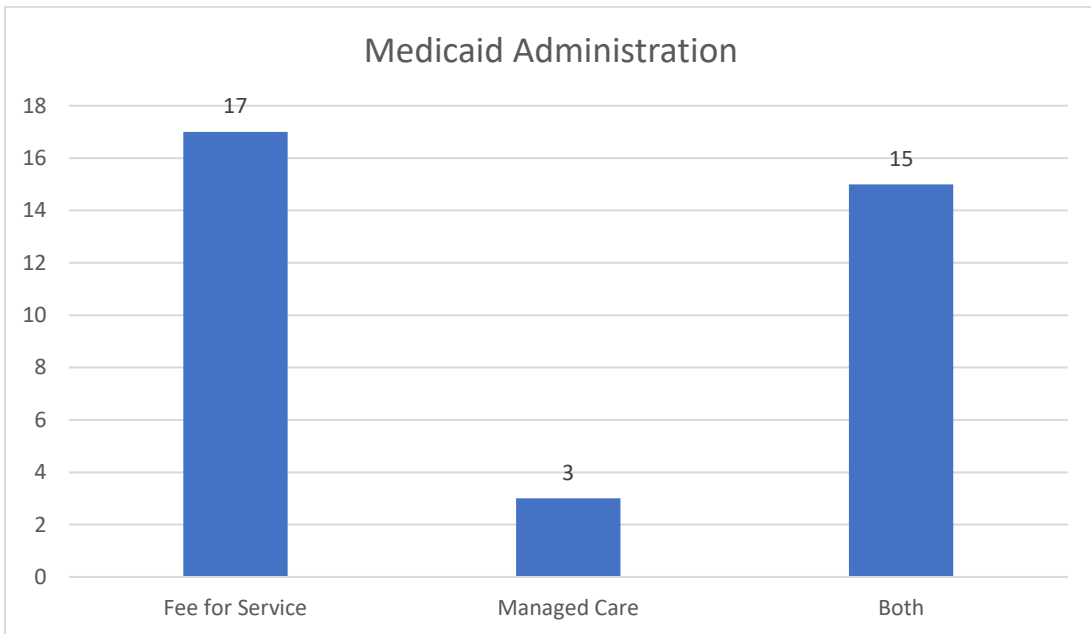
3. Do you have a Medicaid waiver for autism services?

Thirty states responded to this question. Four states (13%) responded yes, twenty-four (80%) responded no, and two states (7%) they were planning on developing one.



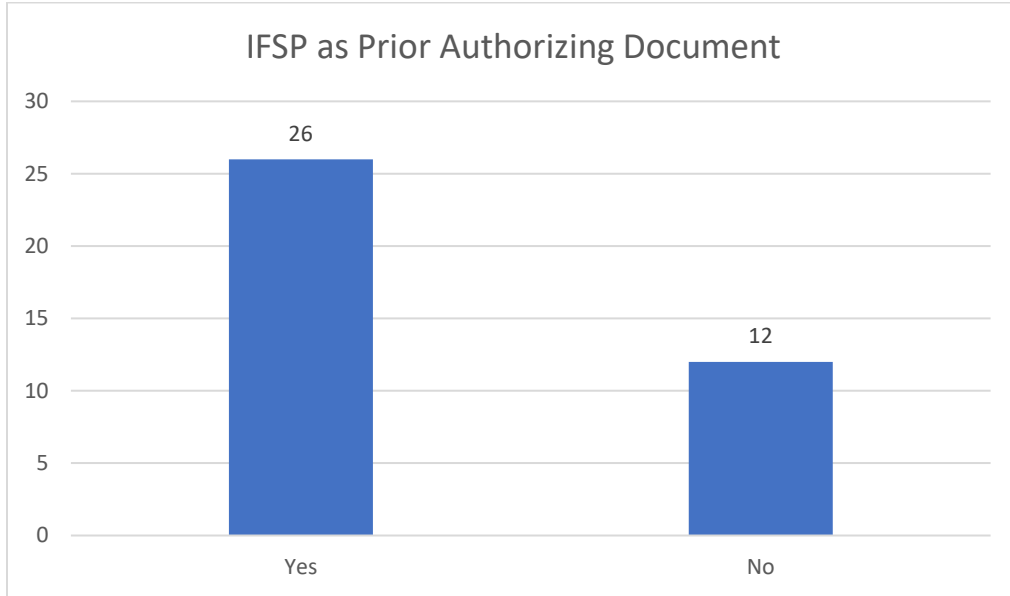
4. How is Medicaid administered for Part C services? Check all that apply.

Thirty-five states responded to this question. Seventeen states (49%) responded that Medicaid is administered through a fee for service model. Three states (9%) indicated Medicaid is administered through Managed Care and 15 states (43%) responded that both forms are used by Part C.



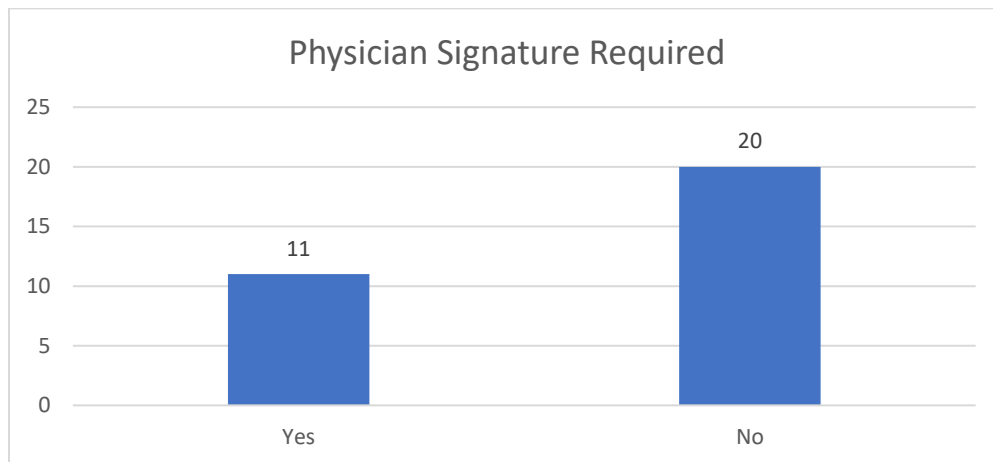
5. Does Medicaid accept the IFSP as the prior authorizing document establishing medical necessity?

Thirty-eight states responded to this question. Twenty-six states (68%) indicated that Medicaid accepts the IFSP for authorization purposes. Twelve states (32%) indicated that Medicaid does not accept the IFSP as establishing medical necessity.



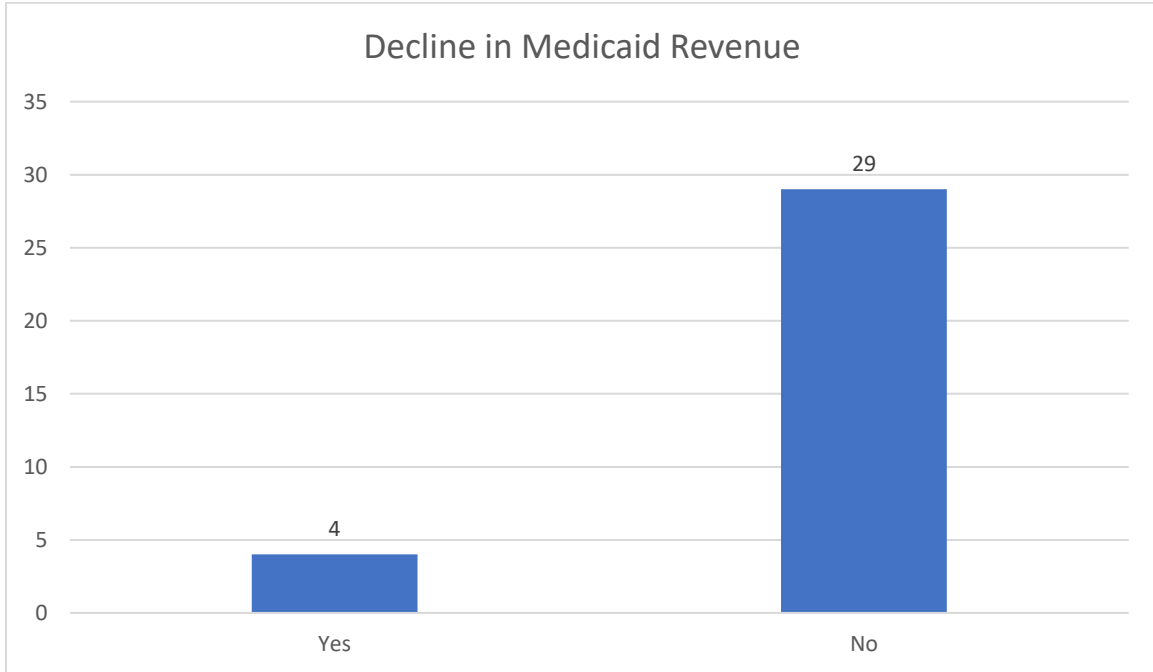
6. Does Medicaid require a physician signature on the IFSP establishing medical necessity?

Thirty-one states responded to this question. Twenty states (65%) indicated that a physician signature was not required. Eleven states (35%) indicated that a physician signature was required by Medicaid.



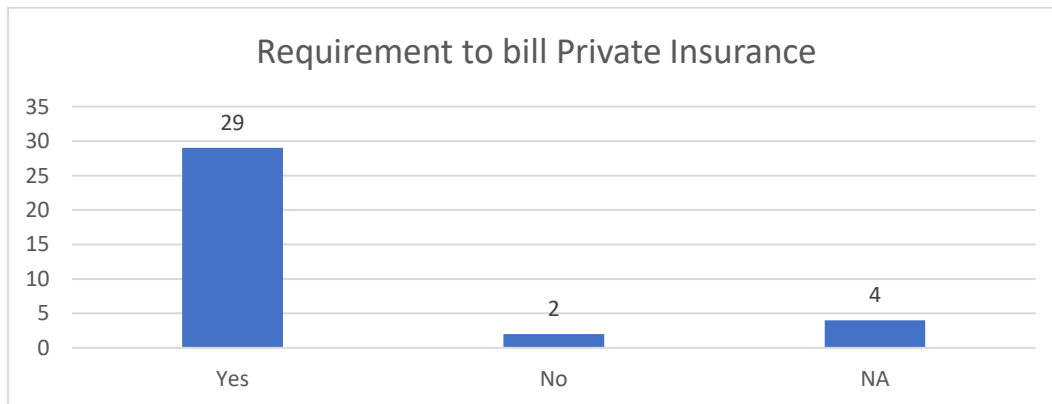
7. Have you experienced a decline in Medicaid revenue as a result of families refusing access?

Thirty-three states responded to this question. Only four states (12%) reported a decline. No state could provide an estimate of the decline.



8. Does Medicaid require private insurance to be billed before accessing Medicaid if a family has dual coverage?

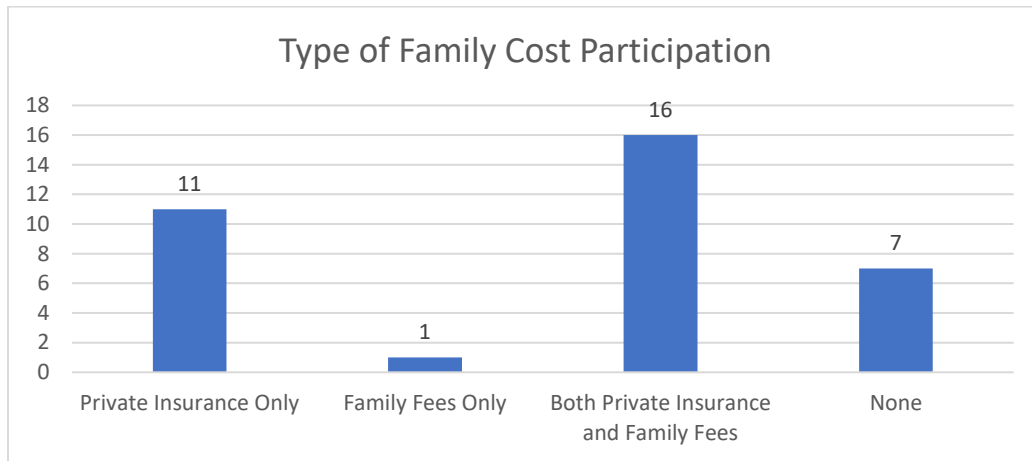
Thirty-five states responded to this question. Twenty-nine (83%) respondents indicated that Medicaid does require private insurance to be billed before accessing Medicaid. Two states (10%) responded that Medicaid did not require them to bill private insurance, and four states (11%) said the question did not apply to them.



Family Cost Participation

9. What type of Family Cost Participation is in place in your state?

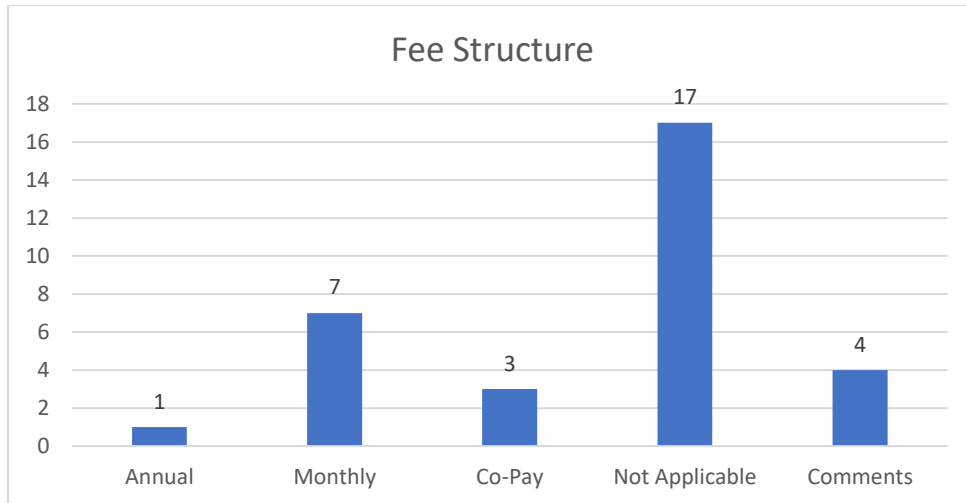
Thirty-five states responded to this question. Eleven states (31%) responded they only access private insurance. One state responded that they only access family fees. Sixteen states (46%) access both private insurance and family fees. Seven states (20%) responded that they do not access either private insurance or family fees.



10. How is your fee structured?

Thirty-two states responded to this question. One state (3%) has an annual fee. Seven states (22%) have a monthly fee. Three states (9%) have a co-pay per service. Seventeen states (53%) responded that this question did not apply to them and four states (12%) provided other comments including:

- Sliding fee scale based on income and accounting for hardship;
- Families with an ability to pay cover the costs of their services after 55 hours of service (or the equivalent in assistive technology costs);
- Insurance pays portion and Part C system pays their portion with no financial fee to the family; and
- No fee.



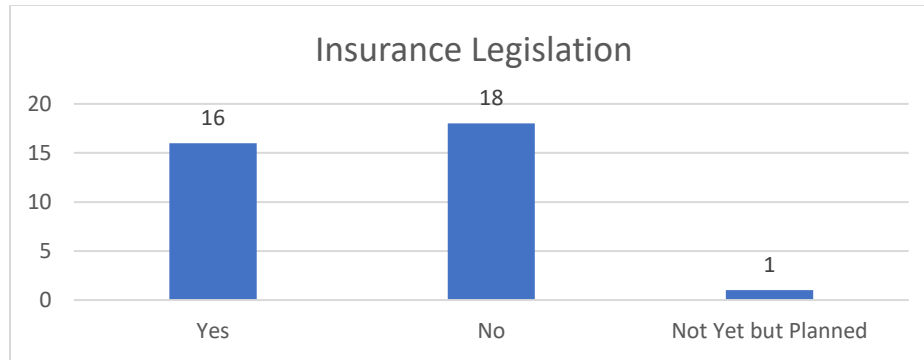
11. What percentage of the federal poverty level serves as the baseline for your family fee system?

Twenty states responded to this question. Two states (20%) use 185% as the baseline. Five states (25%) use 200% as the baseline. Two states (10%) use 250% as the baseline and three states (15%) use 300%. One state (5%) uses 400% and one state (5%) uses 100%. One state (5%) uses an adjusted gross income above \$45,000 and five states (25%) indicated this question did not apply to them.

12. Do you have statutory language related to the use of private insurance?

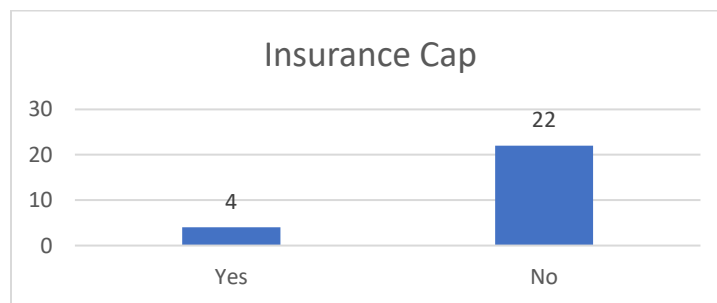
Thirty-five states responded to this question. Sixteen states (46%) responded that they had statutory language and eighteen states (54%) indicated they did not have statutory language. One state (3%) indicated it does not currently have language but are considering adding it. Four states had other comments including:

- We have system of payment language but are not using private insurance unless family bills it themselves;
- We are a birth mandate state and there are no costs to the family;
- Language absolves private insurance from paying for Part C services; and
- See Insurance Code Title 8, H. Subtitle E, Chapter 1367, Subchapter A Newborn Children.



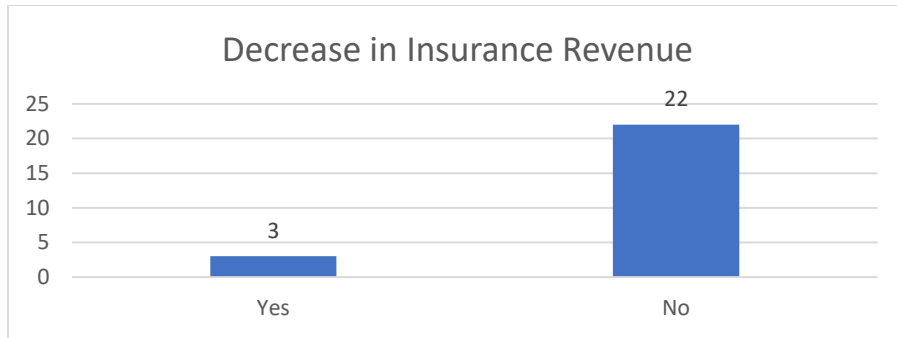
13. Does your insurance legislation have a cap on the amount the insurance company will pay on an annual basis? If so, what is the cap?

Twenty-six states responded to this question. Twenty-two states (85%) responded there was no cap on payment. Four states (15%) indicated there was a cap. The amount of the cap ranged from \$3,000 to \$6,500.



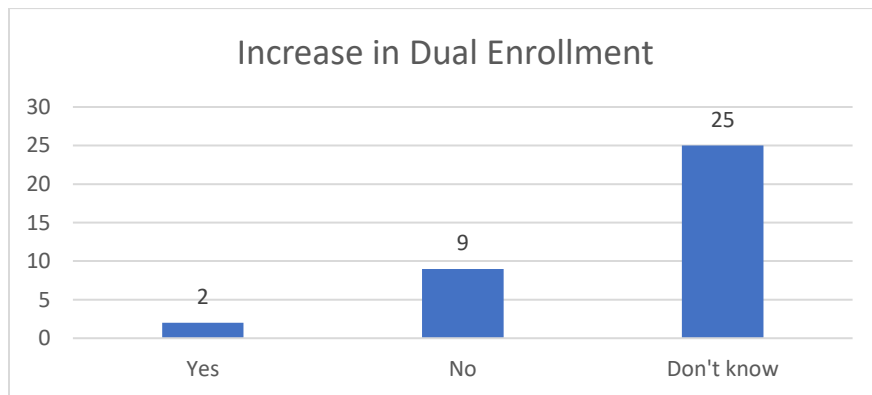
14. Have you seen a decrease in the amount of insurance revenue as a result of families refusing access to their private insurance? If so, how much? Is the decrease the result of family declination?

Twenty-five states responded to this question. Three states (12%) report they saw a decrease while twenty-two states (88%) responded they had not seen a decrease in revenue. One state reported that 87% of families are declining access to their insurance and that 5 years ago it was less than 5%. A second state reported that the rate of refusal has been steady and an additional state indicated that refusals are coming from families with health savings accounts and high deductibles. One state responded that the decrease was a combination of three things: parent declination, increase in indirect services (joint visits, consultation) not billable to insurance and carriers electing to process direct claims instead of one-time annual payment.



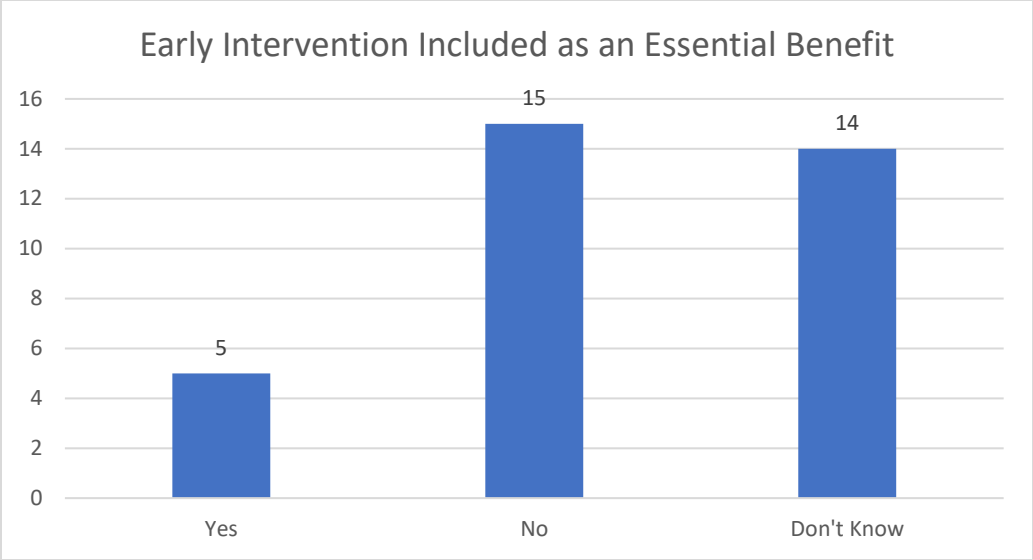
15. Have you seen an increase in families that have both Medicaid and private insurance?

Thirty-six states responded to this question. Two states (5%) indicated they had seen an increase in dual enrolled families. Nine states (25%) reported they have not seen an increase and twenty-five states (70%) did not know if there has been an increase.



16. Are Part C early intervention services included in your state's definition of essential benefits for private insurance policies under the Affordable Care Act?

Thirty-four states responded to this question. Five states (15%) responded that early intervention services are included as essential benefits. Fifteen states (44%) responded services were not included and fourteen states (41%) responded they did not know.



Medicaid Utilization Charts

Medicaid Funding for Infrastructure by number of states 37 Respondents	Administrative	General	EPSDT	Rehabilitative	Managed Care	Waiver Programs
State Administration	4	3	0	0	0	1
Local Administration	6	5	4	0	0	1
SICC	0	2	0	0	0	0
Child Find/Public Awareness	3	2	1	0	0	0
Evaluation/Assessment	3	9	13	2	5	0
CSPD Activities	0	2	0	0	0	0
Monitoring	1	3	1	1	0	0
Data System	1	3	0	0	0	0
IFSP Development	7	3	7	1	3	1

Medicaid Funding for Infrastructure by percentage of states 37 Respondents	Administrative	General	EPSDT	Rehabilitative	Managed Care	Waiver Programs
State Administration	11%	8%	0%	0%	0%	3%
Regional Administration	16%	14%	11%	0%	0%	3%
Local Administration	0%	5%	0%	0%	0%	0%
Child Find/Public Awareness	8%	5%	3%	0%	0%	0%
Evaluation/Assessment	8%	24%	35%	5%	14%	0%
CSPD Activities	0%	5%	0%	0%	0%	0%
Monitoring	3%	8%	3%	3%	0%	0%
Data System	3%	8%	0%	0%	0%	0%
IFSP Development	19%	8%	19%	3%	8%	3%

Medicaid Funding for Direct Services by number of states 37 Respondents	Administrative	General	EPSDT	Rehabilitative	Managed Care	Waiver Programs
Assistive Technology	0	11	12	3	7	1
Audiology	1	15	12	3	6	0
Family Training	0	12	10	2	6	1
Health Services	0	12	12	3	10	1
Medical	0	13	12	3	9	1
Nursing	1	15	13	3	9	1

Medicaid Funding for Direct Services by number of states 37 Respondents	Administrative	General	EPSDT	Rehabilitative	Managed Care	Waiver Programs
Nutrition	0	11	9	3	9	1
Occupational Therapy	1	19	12	4	9	0
Physical Therapy	1	19	13	4	9	0
Psychology	1	15	12	3	8	1
Service Coordination	4	11	7	2	3	3
Special Instruction	0	10	8	3	3	3
Speech Therapy	0	18	13	4	9	0
Social Work	0	11	11	2	7	1
Transportation	2	11	4	1	6	2
Vision	1	13	11	3	9	1
Respite	0	2	2	0	3	4

Medicaid Funding for Direct Services by percentage of states 37 Respondents	Administrative	General	EPSDT	Rehabilitative	Managed Care	Waiver Programs
Assistive Technology	0%	30%	32%	8%	19%	3%
Audiology	3%	41%	32%	8%	16%	0%
Family Training	0%	32%	27%	5%	16%	3%
Health Services	0%	32%	32%	8%	27%	3%
Medical	0%	35%	32%	8%	24%	3%
Nursing	3%	41%	35%	8%	24%	3%
Nutrition	0%	30%	24%	8%	24%	3%
Occupational Therapy	3%	51%	32%	11%	24%	0%
Physical Therapy	3%	51%	35%	11%	24%	0%
Psychology	3%	41%	32%	8%	22%	3%
Service Coordination	11%	30%	19%	5%	8%	8%
Special Instruction	0%	27%	22%	8%	8%	8%
Speech Therapy	0%	49%	35%	11%	24%	0%
Social Work	0%	30%	30%	5%	19%	3%
Transportation	5%	30%	11%	3%	16%	5%
Vision	3%	35%	30%	8%	24%	3%
Respite	0%	5%	5%	0%	8%	11%

Medicaid Funding Used for Infrastructure by number of states by lead agency 37 Respondents	Administrative	General	EPSDT	Rehabilitative	Managed Care	Waiver Programs
State Administration	4	3	0	0	0	1
Health (19)	1	0	0	0	0	1
Education (10)	0	0	0	0	0	0
Other (18)	3	3	0	0	0	0
Local Administration	6	5	4	0	0	1
Health (19)	0	1	1	0	0	0
Education (10)	0	0	2	0	0	0
Other (18)	3	4	1	0	0	1
SICC	0	2	0	0	0	0
Health (19)	0	0	0	0	0	0
Education (10)	0	0	0	0	0	0
Other (18)	0	2	0	0	0	0
Child Find Public Awareness	3	2	1	0	0	0
Health (19)	0	0	0	0	0	0
Education (10)	1	0	0	0	0	0
Other (18)	2	2	1	0	0	0
Eligibility Determination	3	9	13	2	5	0
Health (19)	1	5	3	0	2	0
Education (10)	2	1	4	0	1	0
Other (18)	0	3	6	2	2	0
Comprehensive System of Personnel Development	0	2	0	0	0	0
Health (19)	0	0	0	0	0	0
Education (10)	0	0	0	0	0	0
Other (18)	0	2	0	0	0	0
Monitoring	1	3	1	1	0	0
Health (19)	0	0	0	0	0	0
Education (10)	0	0	0	0	0	0
Other (18)	1	3	1	1	0	0
Data System	1	3	0	0	0	0
Health (19)	0	0	0	0	0	0
Education (10)	0	0	0	0	0	0
Other (18)	1	3	0	0	0	0

Medicaid Funding Used for Infrastructure by number of states by lead agency 37 Respondents	Administrative	General	EPSDT	Rehabilitative	Managed Care	Waiver Programs
IFSP Development	7	3	7	1	3	1
Health (19)	1	1	2	0	1	0
Education (10)	2	1	2	0	1	0
Other (18)	4	1	3	1	1	1

Medicaid Funding Used for Infrastructure by percentage of states by lead agency 37 Respondents	Administrative	General	EPSDT	Rehabilitative	Managed Care	Waiver Programs
State Administration	11%	8%	0%	0%	0%	3%
Health (19)	5%	0%	0%	0%	0%	5%
Education (10)	0%	0%	0%	0%	0%	0%
Other (18)	17%	17%	0%	0%	0%	0%
Local Administration	16%	14%	11%	0%	0%	3%
Health (19)	0%	5%	5%	0%	0%	0%
Education (10)	0%	0%	20%	0%	0%	0%
Other (18)	17%	22%	6%	0%	0%	6%
SICC	0%	5%	0%	0%	0%	0%
Health (19)	0%	0%	0%	0%	0%	0%
Education (10)	0%	0%	0%	0%	0%	0%
Other (18)	0%	11%	0%	0%	0%	0%
Child Find Public Awareness	8%	5%	3%	0%	0%	0%
Health (19)	0%	0%	0%	0%	0%	0%
Education (10)	10%	0%	0%	0%	0%	0%
Other (18)	11%	11%	6%	0%	0%	0%
Eligibility Determination	8%	24%	35%	5%	14%	0%
Health (19)	5%	26%	16%	0%	11%	0%
Education (10)	20%	10%	40%	0%	10%	0%
Other (18)	0%	17%	33%	11%	11%	0%
Comprehensive System of Personnel Development	0%	5%	0%	0%	0%	0%
Health (19)	0%	0%	0%	0%	0%	0%

Medicaid Funding Used for Infrastructure by percentage of states by lead agency 37 Respondents	Administrative	General	EPSDT	Rehabilitative	Managed Care	Waiver Programs
Education (10)	0%	0%	0%	0%	0%	0%
Other (18)	0%	11%	0%	0%	0%	0%
Monitoring	3%	8%	3%	3%	0%	0%
Health (19)	0%	0%	0%	0%	0%	0%
Education (10)	0%	0%	0%	0%	0%	0%
Other (18)	6%	17%	6%	6%	0%	0%
Data System	3%	8%	0%	0%	0%	0%
Health (19)	0%	0%	0%	0%	0%	0%
Education (10)	0%	0%	0%	0%	0%	0%
Other (18)	6%	17%	0%	0%	0%	0%
IFSP Development	19%	8%	19%	3%	8%	3%
Health (19)	5%	5%	11%	0%	5%	0%
Education (10)	20%	10%	20%	0%	10%	0%
Other (18)	22%	6%	17%	6%	6%	6%

Medicaid Funding Used for Direct Services by number of states by lead agency 37 Respondents	Administrative	General	EPSDT	Rehabilitative	Managed Care	Waiver Programs
Assistive Technology	0	11	12	3	7	1
Health (19)	0	5	4	1	3	1
Education (10)	0	3	2	0	1	0
Other (18)	0	3	6	2	3	0
Audiology	1	15	12	3	6	0
Health (19)	0	8	4	1	3	0
Education (10)	0	4	2	0	0	0
Other (18)	1	3	6	2	3	0
Family Training & Counseling	0	12	10	2	6	1
Health (19)	0	7	4	1	3	0
Education (10)	0	3	1	0	0	0
Other (18)	0	2	5	1	3	1
Health	0	12	12	3	10	1
Health (19)	0	5	4	1	4	0
Education (10)	0	3	2	0	1	0

Medicaid Funding Used for Direct Services by number of states by lead agency 37 Respondents	Administrative	General	EPSDT	Rehabilitative	Managed Care	Waiver Programs
Other (18)	0	4	6	2	5	1
Medical	0	13	12	3	10	1
Health (19)	0	6	4	1	5	0
Education (10)	0	4	2	0	1	0
Other (18)	0	3	6	2	3	1
Nursing	1	15	13	3	9	1
Health (19)	0	7	4	1	5	0
Education (10)	0	4	3	0	1	0
Other (18)	1	4	6	2	3	1
Nutrition	0	11	9	3	9	1
Health (19)	0	6	3	1	5	0
Education (10)	0	3	2	0	1	0
Other (18)	0	2	4	2	3	1
Occupational Therapy	1	19	12	4	9	0
Health (19)	0	8	4	2	5	0
Education (10)	0	5	3	0	1	0
Other (18)	1	6	5	2	3	0
Physical Therapy	1	19	13	4	9	0
Health (19)	0	7	4	2	4	0
Education (10)	0	5	2	0	1	0
Other (18)	1	7	6	2	4	0
Psychological Services	1	15	12	3	8	1
Health (19)	0	6	4	1	4	0
Education (10)	0	4	2	0	1	0
Other (18)	1	5	6	2	3	1
Service Coordination	4	11	7	2	3	3
Health (19)	0	6	2	1	2	2
Education (10)	1	2	1	0	0	0
Other (18)	3	3	4	1	1	1
Special Instruction	0	10	8	3	3	3
Health (19)	0	5	3	2	1	1
Education (10)	0	3	1	0	1	0
Other (18)	0	2	4	1	1	2
Speech Language Pathology	0	18	13	4	9	0
Health (19)	0	8	4	2	5	0
Education (10)	0	2	3	0	1	0
Other (18)	0	6	6	2	3	0
Social Work	0	11	11	2	7	1
Health (19)	0	6	3	1	4	0
Education (10)	0	4	2	0	1	0

Medicaid Funding Used for Direct Services by number of states by lead agency 37 Respondents	Administrative	General	EPSDT	Rehabilitative	Managed Care	Waiver Programs
Other (18)	0	1	6	1	2	1
Transportation	2	11	4	1	6	2
Health (19)	1	5	0	0	3	1
Education (10)	0	3	1	0	1	0
Other (18)	1	3	3	1	2	1
Vision	1	13	11	3	9	1
Health (19)	0	6	3	1	5	0
Education (10)	0	4	3	0	1	0
Other (18)	1	3	5	2	3	1
Respite	0	2	2	0	3	4
Health (19)	0	1	0	0	2	2
Education (10)	0	1	0	0	0	0
Other (18)	0	0	2	0	1	2

Medicaid Funding Used for Direct Services by percentage of states by lead agency 37 Respondents	Administrative	General	EPSDT	Rehabilitative	Managed Care	Waiver Programs
Assistive Technology	0%	30%	32%	8%	19%	3%
Health (19)	0%	26%	21%	5%	16%	5%
Education (10)	0%	30%	20%	0%	10%	0%
Other (18)	0%	17%	33%	11%	17%	0%
Audiology	3%	41%	32%	8%	16%	0%
Health (19)	0%	42%	21%	5%	16%	0%
Education (10)	0%	40%	20%	0%	0%	0%
Other (18)	6%	17%	33%	11%	17%	0%
Family Training & Counseling	0%	32%	27%	5%	16%	3%
Health (19)	0%	37%	21%	5%	16%	0%
Education (10)	0%	30%	10%	0%	0%	0%
Other (18)	0%	11%	28%	6%	17%	6%
Health	0%	32%	32%	8%	27%	3%
Health (19)	0%	26%	21%	5%	21%	0%
Education (10)	0%	30%	20%	0%	10%	0%
Other (18)	0%	22%	33%	11%	28%	6%
Medical	0%	35%	32%	8%	27%	3%
Health (19)	0%	32%	21%	5%	26%	0%
Education (10)	0%	40%	20%	0%	10%	0%
Other (18)	0%	17%	33%	11%	17%	6%

Medicaid Funding Used for Direct Services by percentage of states by lead agency 37 Respondents	Administrative	General	EPSDT	Rehabilitative	Managed Care	Waiver Programs
Nursing	3%	41%	35%	8%	24%	3%
Health (19)	0%	37%	21%	5%	26%	0%
Education (10)	0%	40%	30%	0%	10%	0%
Other (18)	6%	22%	33%	11%	17%	6%
Nutrition	0%	30%	24%	8%	24%	3%
Health (19)	0%	32%	16%	5%	26%	0%
Education (10)	0%	30%	20%	0%	10%	0%
Other (18)	0%	11%	22%	11%	17%	6%
Occupational Therapy	3%	51%	32%	11%	24%	0%
Health (19)	0%	42%	21%	11%	26%	0%
Education (10)	0%	50%	30%	0%	10%	0%
Other (18)	6%	33%	28%	11%	17%	0%
Physical Therapy	3%	51%	35%	11%	24%	0%
Health (19)	0%	37%	21%	11%	21%	0%
Education (10)	0%	50%	20%	0%	10%	0%
Other (18)	6%	39%	33%	11%	22%	0%
Psychological Services	3%	41%	32%	8%	22%	3%
Health (19)	0%	32%	21%	5%	21%	0%
Education (10)	0%	40%	20%	0%	10%	0%
Other (18)	6%	28%	33%	11%	17%	6%
Service Coordination	11%	30%	19%	5%	8%	8%
Health (19)	0%	32%	11%	5%	11%	11%
Education (10)	10%	20%	10%	0%	0%	0%
Other (18)	17%	17%	22%	6%	6%	6%
Special Instruction	0%	27%	22%	8%	8%	8%
Health (19)	0%	26%	16%	11%	5%	5%
Education (10)	0%	30%	10%	0%	10%	0%
Other (18)	0%	11%	22%	6%	6%	11%
Speech Language Pathology	0%	49%	35%	11%	24%	0%
Health (19)	0%	42%	21%	11%	26%	0%
Education (10)	0%	20%	30%	0%	10%	0%
Other (18)	0%	33%	33%	11%	17%	0%
Social Work	0%	30%	30%	5%	19%	3%
Health (19)	0%	32%	16%	5%	21%	0%
Education (10)	0%	40%	20%	0%	10%	0%
Other (18)	0%	6%	33%	6%	11%	6%
Transportation	5%	30%	11%	3%	16%	5%
Health (19)	5%	26%	0%	0%	16%	5%
Education (10)	0%	30%	10%	0%	10%	0%
Other (18)	6%	17%	17%	6%	11%	6%

Medicaid Funding Used for Direct Services by percentage of states by lead agency 37 Respondents	Administrative	General	EPSDT	Rehabilitative	Managed Care	Waiver Programs
Vision	3%	35%	30%	8%	24%	3%
Health (19)	0%	32%	16%	5%	26%	0%
Education (10)	0%	40%	30%	0%	10%	0%
Other (18)	6%	17%	28%	11%	17%	6%
Respite	0%	5%	5%	0%	8%	11%
Health (19)	0%	5%	0%	0%	11%	11%
Education (10)	0%	10%	0%	0%	0%	0%
Other (18)	0%	0%	11%	0%	6%	11%