2014 ITCA Membership Meeting

September 7, 2014
New Orleans, LA
Agenda

- Welcome and Introductions
- President’s Report
- Treasurer’s Report
- 2014 Tipping Points
- Board Elections
- 2014 Finance Survey Results
Welcome and Introductions
President’s Report
Your connection to a positive outcome.
We are your online link to the resources that help to improve the lives of infants & toddlers with special needs.

We believe that every successful early childhood intervention is the result of a cooperative spirit — the coordination and collaboration of many individuals who share a goal to help infants and toddlers with developmental challenges.

This site is all about making the right connections, and here, you will find the core information to assist you... Our mission is carefully structured to support the Early Intervention System for Infants and Toddlers with Disabilities of the IDEA Part C.
Birth Cohort: Percentage Referred

- **2006**: 15.52%
- **2007**: 15.26%
- **2008**: 15.6%
- **2009**: 15.94%
- **2010**: 16.17%

Years:
- 2006: (3.31-28.48)
- 2007: (7-28)
- 2008: (8-29)
- 2009: (8.6-29.56)
- 2010: (7.3-30.1)

2014 ITCA Membership Meeting
Birth Cohort: Evaluated

<table>
<thead>
<tr>
<th>Year</th>
<th>Average Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>2006</td>
<td>11.85 (2.92-26.46)</td>
</tr>
<tr>
<td>2007</td>
<td>12.36 (6-21)</td>
</tr>
<tr>
<td>2008</td>
<td>12.9 (5.8-27.7)</td>
</tr>
<tr>
<td>2009</td>
<td>12.64 (5.85-28.02)</td>
</tr>
<tr>
<td>2010</td>
<td>13.03 (6.2-28.5)</td>
</tr>
</tbody>
</table>

2014 ITCA Membership Meeting
Birth Cohort: Eligible for Part C

<table>
<thead>
<tr>
<th>Year</th>
<th>Average Percentage</th>
<th>Range</th>
</tr>
</thead>
<tbody>
<tr>
<td>2006</td>
<td>9.75</td>
<td>(1.51-19.46)</td>
</tr>
<tr>
<td>2007</td>
<td>9.23</td>
<td>(3-19)</td>
</tr>
<tr>
<td>2008</td>
<td>9.6</td>
<td>(3.6 - 19.8)</td>
</tr>
<tr>
<td>2009</td>
<td>9.32</td>
<td>(4.06-20.03)</td>
</tr>
<tr>
<td>2010</td>
<td>9.69</td>
<td>(4.5-20.6)</td>
</tr>
</tbody>
</table>

2014 ITCA Membership Meeting
Birth Cohort: Part B Eligible

Average Percentage

- 2006: 7.2 (1.15-17.22)
- 2007: 3.95 (2-11.68)
- 2008: 5.9 (1.9-21.7)
- 2009: 3.89 (1.18-12.87)
- 2010: 3.64 (0.41-7.43)

2014 ITCA Membership Meeting
Treasurer Report

2014 ITCA Membership Meeting
### 2014 Revenue

<table>
<thead>
<tr>
<th>Category</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>Membership Dues</td>
<td>$58,275</td>
</tr>
<tr>
<td>Interest</td>
<td>$60.24</td>
</tr>
<tr>
<td>Meeting Income</td>
<td>$168.00</td>
</tr>
<tr>
<td>Other</td>
<td>$2,247.38</td>
</tr>
<tr>
<td><strong>Total thru 8/31</strong></td>
<td></td>
</tr>
</tbody>
</table>
## 2014 Expenditure Report

<table>
<thead>
<tr>
<th>Category</th>
<th>Amount</th>
<th>% of Budget</th>
</tr>
</thead>
<tbody>
<tr>
<td>Annual Meeting</td>
<td>$4,500.00</td>
<td>45%</td>
</tr>
<tr>
<td>Board Planning Retreat</td>
<td>$3,967.13</td>
<td>79.3%</td>
</tr>
<tr>
<td>Executive Director</td>
<td>$36,070.00</td>
<td>66.7%</td>
</tr>
<tr>
<td>Government Liaison</td>
<td>$26,754.64</td>
<td>66.7%</td>
</tr>
<tr>
<td>Travel</td>
<td>$2,245.61</td>
<td>44.9%</td>
</tr>
<tr>
<td>Web Maintenance</td>
<td>$75.00</td>
<td>15%</td>
</tr>
<tr>
<td>Web Server</td>
<td>$800.00</td>
<td>66.7%</td>
</tr>
<tr>
<td>Office Supplies</td>
<td>$290.00</td>
<td>58%</td>
</tr>
<tr>
<td>Directors and Officers Insurance</td>
<td>$1,606.00</td>
<td>107.1%</td>
</tr>
<tr>
<td>Association Membership Fees</td>
<td>$550.00</td>
<td>78.6%</td>
</tr>
<tr>
<td>Committee Activities/Products</td>
<td>$0.00</td>
<td>0%</td>
</tr>
<tr>
<td>Other</td>
<td>$100.00</td>
<td>20%</td>
</tr>
<tr>
<td><strong>Total as of 8/31</strong></td>
<td><strong>$76,958.38</strong></td>
<td><strong>62.5%</strong></td>
</tr>
</tbody>
</table>
# Bank Balance

<table>
<thead>
<tr>
<th></th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>ITCA Checking</td>
<td></td>
</tr>
<tr>
<td>ITCA Savings</td>
<td></td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td></td>
</tr>
</tbody>
</table>
Budget to Expenditure Trend
### 2015 Membership Dues

<table>
<thead>
<tr>
<th>Federal Award</th>
<th>Dues</th>
</tr>
</thead>
<tbody>
<tr>
<td>&lt; $500,000</td>
<td>$325</td>
</tr>
<tr>
<td>$500,000 - $1M</td>
<td>$600</td>
</tr>
<tr>
<td>$1M - $2M</td>
<td>$1,250</td>
</tr>
<tr>
<td>$2M - $3M</td>
<td>$2,000</td>
</tr>
<tr>
<td>$3M - $4M</td>
<td>$2,250</td>
</tr>
<tr>
<td>$4M - $5M</td>
<td>$2,500</td>
</tr>
<tr>
<td>$5M - $6M</td>
<td>$2,750</td>
</tr>
<tr>
<td>$6M - $8M</td>
<td>$3,000</td>
</tr>
<tr>
<td>$8M - $10M</td>
<td>$3,250</td>
</tr>
<tr>
<td>$10M - $12M</td>
<td>$3,500</td>
</tr>
<tr>
<td>$12M - $15M</td>
<td>$3,750</td>
</tr>
<tr>
<td>$15M - $20M</td>
<td>$4,000</td>
</tr>
<tr>
<td>$20M - $30M</td>
<td>$4,250</td>
</tr>
<tr>
<td>$30M - $40M</td>
<td>$4,500</td>
</tr>
<tr>
<td>$40M+</td>
<td>$4,750</td>
</tr>
</tbody>
</table>
### 2015 Proposed Budget

<table>
<thead>
<tr>
<th>Category</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>Annual Meeting</td>
<td></td>
</tr>
<tr>
<td>Board Planning Retreat</td>
<td></td>
</tr>
<tr>
<td>Executive Director</td>
<td></td>
</tr>
<tr>
<td>Government Liaison</td>
<td></td>
</tr>
<tr>
<td>Travel</td>
<td></td>
</tr>
<tr>
<td>Web Maintenance</td>
<td></td>
</tr>
<tr>
<td>Web Server</td>
<td></td>
</tr>
<tr>
<td>Office Supplies</td>
<td></td>
</tr>
<tr>
<td>Directors and Officers Insurance</td>
<td></td>
</tr>
<tr>
<td>Association Membership Fees</td>
<td></td>
</tr>
<tr>
<td>Committee Activities/Products</td>
<td></td>
</tr>
<tr>
<td>Other</td>
<td></td>
</tr>
</tbody>
</table>
2014 Tipping Points
Respondents (48)

**Lead Agency**
- Education: 11
- Other State Agency: 15
- Health: 22

**Eligibility**
- Category C: 14
- Category A: 16
- Category B: 18

2014 ITCA Membership Meeting
<table>
<thead>
<tr>
<th>Category</th>
<th>Category A (15)</th>
<th>Category B (19)</th>
<th>Category C (14)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Health (22)</td>
<td>4</td>
<td>10</td>
<td>8</td>
</tr>
<tr>
<td>Education (11)</td>
<td>4</td>
<td>4</td>
<td>3</td>
</tr>
<tr>
<td>Lead Agency (15)</td>
<td>7</td>
<td>5</td>
<td>3</td>
</tr>
</tbody>
</table>
State Infrastructure

- **Regional public/private agencies/programs**: Responsible for referral to IFSP development; Often referred as a single point of entry or contact; Services provided by providers and/or agencies paid as vendors by the state. **14 States**

- **Regional/local public/private agencies**: Cover specified geographic areas of state; Responsible for all services from referral through transition; Often considered local/regional LAs; May use employees or also may contract with and pay private providers to provide EI services. **13 States**

- **Primarily Private programs**: Covering specified geographic areas of state; responsible for all services from referral through transition; may use employees and/or also hire private contractors to provide EI services; Often competitive process. **10 states**
State Infrastructure (continued)

- Unitary systems: Employ staff and may contract with providers through the lead agency; Provide all early intervention services from referral through transition; and EI personnel are usually based at a few sites to ensure that services are made available in the child and family's community. 2 states

- State lead agency employees: Based at regional/local areas; Provide services and are responsible for referral through transition; May also have some private EI service providers/agencies as supplemental vendors. 1 state

- Multiple state agencies and their regional/local counterparts are responsible based on variables such as: Child’s type of eligibility; and Type of Service including Evaluation and Direct Service. 1 state
Part C Coordinator Tenure

- Less than 1 year: 13%
- 1-2 years: 21%
- 3-5 years: 19%
- 6-10 years: 28%
- 11-15 years: 13%
- 16 years or more: 13%

2014 ITCA Membership Meeting
### SiMR Considerations

<table>
<thead>
<tr>
<th>Indicator</th>
<th>Number of States</th>
</tr>
</thead>
<tbody>
<tr>
<td>Percent of infants &amp; toddlers with IFSPs who demonstrate improved social-emotional skills.</td>
<td>30</td>
</tr>
<tr>
<td>Percent of infants &amp; toddlers with IFSPs who demonstrate acquisition and use of knowledge and skills.</td>
<td>25</td>
</tr>
<tr>
<td>Percent of infants &amp; toddlers with IFSPs who demonstrate use of appropriate behaviors to meet their needs.</td>
<td>22</td>
</tr>
<tr>
<td>Percent of families who report that EI services have helped the family help their children develop and learn</td>
<td>10</td>
</tr>
<tr>
<td>Percent of families who report that EI services have helped the family effectively communicate their child’s needs</td>
<td>6</td>
</tr>
<tr>
<td>Percent of families who report that EI services have helped the family know their rights</td>
<td>5</td>
</tr>
</tbody>
</table>
Status of Continued Participation

- Serious Discussions: 2010 - 90%, 2011 - 30%, 2012 - 10%, 2013 - 20%, 2014 - 10%
Family Refusal for Access to Insurance

- Public Insurance – 23 states reported data for an average of 1.7% declination rate (Range: <1% to 10%)
  - Most states could not provide data

- Private Insurance – 15 states reported data for an average declination rate of 8.5% (Range: <1% to 50%)
  - Again states reported they could not provide data.
Changes Made in the Last 12 Months for Continued Participation

<table>
<thead>
<tr>
<th>Change Description</th>
<th>Number of States</th>
</tr>
</thead>
<tbody>
<tr>
<td>Implemented Family Fees</td>
<td>2</td>
</tr>
<tr>
<td>Increased Family Fees</td>
<td>1</td>
</tr>
<tr>
<td>Required families to use their private insurance or be placed on a fee schedule</td>
<td>2</td>
</tr>
<tr>
<td>Reduced Provider Reimbursement</td>
<td>5</td>
</tr>
<tr>
<td>Required Prior Approval for hours of service that exceed an identified amount</td>
<td>3</td>
</tr>
<tr>
<td>Narrowed Eligibility</td>
<td>2</td>
</tr>
<tr>
<td>Other</td>
<td>19</td>
</tr>
</tbody>
</table>

2014 ITCA Membership Meeting
Changes to be Made in the Next 12 Months for Continued Participation

<table>
<thead>
<tr>
<th>Change Description</th>
<th>Number of States</th>
</tr>
</thead>
<tbody>
<tr>
<td>Implement Family Fees</td>
<td>2</td>
</tr>
<tr>
<td>Increase Family Fees</td>
<td>2</td>
</tr>
<tr>
<td>Require families to use their private insurance or be placed on a fee schedule</td>
<td>4</td>
</tr>
<tr>
<td>Reduce Provider Reimbursement</td>
<td>1</td>
</tr>
<tr>
<td>Require Prior Approval for hours of service that exceed an identified amount</td>
<td>4</td>
</tr>
<tr>
<td>Narrow Eligibility</td>
<td>2</td>
</tr>
<tr>
<td>Other</td>
<td>26</td>
</tr>
</tbody>
</table>
Status of Eligibility for Last Three Years

- 2010
- 2011
- 2012
- 2013
- 2014

<table>
<thead>
<tr>
<th>Year</th>
<th>No Change</th>
<th>More Restrictive</th>
<th>Expanded</th>
<th>Change in Coming Year</th>
</tr>
</thead>
<tbody>
<tr>
<td>2010</td>
<td>60</td>
<td>20</td>
<td>9</td>
<td>2.2</td>
</tr>
<tr>
<td>2011</td>
<td>50</td>
<td>30</td>
<td>10</td>
<td>3.5</td>
</tr>
<tr>
<td>2012</td>
<td>40</td>
<td>10</td>
<td>5</td>
<td>4.0</td>
</tr>
<tr>
<td>2013</td>
<td>30</td>
<td>5</td>
<td>3</td>
<td>4.5</td>
</tr>
<tr>
<td>2014</td>
<td>20</td>
<td>1</td>
<td>2</td>
<td>2.2</td>
</tr>
</tbody>
</table>
Actions for Children No Longer Meeting Eligibility Criteria

- Enroll in Formal Tracking: 1
- Refer to Other Community Agencies: 9
- No State policy or Procedure: 1
- Other: 2

2014 ITCA Membership Meeting
Planned Services Per Child Per Month

<table>
<thead>
<tr>
<th>Year</th>
<th>Range</th>
<th>Median Hours</th>
</tr>
</thead>
<tbody>
<tr>
<td>2010</td>
<td>(2-25)</td>
<td>7.2</td>
</tr>
<tr>
<td>2011</td>
<td>(1-12)</td>
<td>5.0</td>
</tr>
<tr>
<td>2012</td>
<td>(2-12)</td>
<td>6.7</td>
</tr>
<tr>
<td>2013</td>
<td>(1.5-12)</td>
<td>5.0</td>
</tr>
<tr>
<td>2014</td>
<td>(1-20)</td>
<td>4.2</td>
</tr>
</tbody>
</table>
# Delivered Services Per Child Per Month

<table>
<thead>
<tr>
<th>Year</th>
<th>Median Hours</th>
</tr>
</thead>
<tbody>
<tr>
<td>2010</td>
<td>5.7</td>
</tr>
<tr>
<td>2011</td>
<td>4.5</td>
</tr>
<tr>
<td>2012</td>
<td>4.5</td>
</tr>
<tr>
<td>2013</td>
<td>4.0</td>
</tr>
<tr>
<td>2014</td>
<td>3.9</td>
</tr>
</tbody>
</table>

2010 (2-25)  
2011 (2-12)  
2012 (2-12)  
2013 (1.5-12)  
2014 (1-18)  

2014 ITCA Membership Meeting
Average Length of Time in Part C

<table>
<thead>
<tr>
<th>Year</th>
<th>Median Number of Months</th>
</tr>
</thead>
<tbody>
<tr>
<td>2012 (8-29)</td>
<td>18</td>
</tr>
<tr>
<td>2013 (8-25)</td>
<td>16</td>
</tr>
<tr>
<td>2014 (9-27)</td>
<td>14</td>
</tr>
</tbody>
</table>
Status of State Funding

<table>
<thead>
<tr>
<th>Year</th>
<th>Funding Increased</th>
<th>Funding Decreased</th>
<th>Funding Frozen</th>
<th>Budget Not Final</th>
</tr>
</thead>
<tbody>
<tr>
<td>2010</td>
<td>32%</td>
<td>7%</td>
<td>45%</td>
<td>20%</td>
</tr>
<tr>
<td>2011</td>
<td>32%</td>
<td>7%</td>
<td>45%</td>
<td>20%</td>
</tr>
<tr>
<td>2012</td>
<td>32%</td>
<td>7%</td>
<td>45%</td>
<td>20%</td>
</tr>
<tr>
<td>2013</td>
<td>32%</td>
<td>7%</td>
<td>45%</td>
<td>20%</td>
</tr>
<tr>
<td>2014</td>
<td>32%</td>
<td>7%</td>
<td>45%</td>
<td>20%</td>
</tr>
</tbody>
</table>
Declinations as a Result of Fiscal Constraints

Local Lead Agencies
- Yes: 36%
- No: 16%
- Not Applicable: 48%

Contractors
- Yes: 37%
- No: 17%
- Not Applicable: 46%

2014 ITCA Membership Meeting
Status of Provider Reimbursement

- Increased Reimbursement
- Decreased Reimbursement
- Remained the Same
- Will increase in next 12 months
- Will decrease in next 12 months

2010: 17%
2011: 15%
2012: 49%
2013: 5%
2014: 0%

2014 ITCA Membership Meeting
Involvement in RTT – ELC Application

- Provided Data: 23
- Provided the Required Signature: 18
- Participated in Data Analysis: 10
- Wrote Sections of the Application: 9
- No Participation: 9
- Included in the Budget: 8
- July: 7

2014 ITCA Membership Meeting
RTT-ELC Application Reflected Part C Input

- Somewhat: 21%
- Totally: 22%
- Not All: 57%

2014 ITCA Membership Meeting
Were Disability Needs Addressed?

![Bar Chart](chart.png)

2014 ITCA Membership Meeting
2015 Board Elections

2014 ITCA Membership Meeting
Vice President
(President-Elect)
Sherry Franklin
North Carolina
Secretary/Treasurer
Ron Benham
Massachusetts
Director at Large

Brenda Sharp
Louisiana
Director at Large

Jim Coyle, PA

2014 ITCA Membership Meeting
Break
2014 Finance Survey
Federal Funds

$1,130,629,356

WIC
Home Visiting
Champus/Tricare
SCHIP
Title V
Part D
Part B 619
Part B 611
TANF
Part C
Other
Medicaid
State Funds

$2,025,509,558

- TANF MOE
- Other
- Deaf Blind Schools
- Tobacco
- State Dev Disab
- State Special Ed
- State General Funds
- State Part C

2014 ITCA Membership Meeting
Local Funds

$543,365,439

- Cash Donations
- Special Fundraising
- Foundations
- United Way
- Other
- Family Fees
- LEA
- Private Insurance
- Local Government
- County Tax Levy

2014 ITCA Membership Meeting
Funding Distribution

- Federal: 55%
- State: 31%
- Local: 14%
Part C Funding Across All Levels

$3,699,504,353

- Other
- Private Insurance
- Local Government
- State Special Education
- County Tax Levy
- Part C
- State General Funds
- Medicaid
- State Part C Appropriation
State Funds Directly Administered by the Lead Agency

2014 ITCA Membership Meeting
Medicaid Administration

- 62% Managed Care
- 30% Fee for Service
- 8% Both

2014 ITCA Membership Meeting
Establishing Medical Necessity

IFSP as Prior Authorizing Document

- Yes: 53%
- No: 30%
- No response: 17%

Physician Signature

- Yes: 17%
- No: 15%
- No Response: 68%

2014 ITCA Membership Meeting
Family Cost Participation

- Eleven states access Private Insurance Only
- Three states have implemented a Family Fee Only
- Fourteen states have both Private Insurance & Family Fees
- 17 states have statutory language related to use of private insurance

Percent of FPL as Baseline for Fees

- 200%: 9 states
- 185%: 3 states
- 300%: 3 states
- 250%: 1 state
- 400%: 1 state
Nine States had a cap on their annual insurance benefit

Caps were:
- $3,000
- $3,500
- $5,000 (2 states)

Nine states indicated they have seen a decrease in the amount of insurance revenue as a result of family refusal

- Most states indicated it was too early to know amount
- One state indicated a 3% decrease
- One state indicated a dollar value of $15,000
Allocation Variables - Infrastructure

Number of States

- # Children Served: 24
- Historic Growth Patterns: 14
- Geographic: 11
- # Births: 8
- Population Growth: 5
- Poverty: 5
- Other Revenue: 4
- Rate of Public Insurance: 2
- # of Premature Births: 2
- Homelessness: 1
- Rate of Private Insurance: 1

2014 ITCA Membership Meeting
Allocation Variables – Direct Services

- # Children Served previous.
- Historic Growth Patterns
- Historic Expenditure Patterns
- Geography
- Other Revenue
- Rate of Public Insurance
- # Births
- Population Growth
- Rate of Private Insurance
- Poverty

Number of States

- 25
- 14
- 13
- 7
- 5
- 5
- 4
- 4
- 4
- 2

2014 ITCA Membership Meeting
State Mechanism for Payment

**Infrastructure**

- Contracts: 29
- Grants: 10
- Vouchers: 8
- Fee for Service: 2

**Direct Services**

- Contracts: 23
- Fee for Service: 18
- Grants: 11
- Vouchers: 9

2014 ITCA Membership Meeting
Fiscal Reporting

- Twenty-five states require participating entities to report revenue and expenses related to Part C on an annual basis.
  - Other state agency: 1

- Frequency of reporting:
  - Monthly: 8
  - Quarterly: 7
  - Semi-annually: 2
  - Annually: 9

- Seventeen states require all EI providers to submit a uniform financial report.
  - Six require the financial report if the provider meets a certain threshold.
  - Twenty-one do not require a uniform financial report.
Fiscal Monitoring

- Desk Audit: 19 (Annual), 23 (Periodic)
- Onsite Review of Agency Finances: 9 (Annual), 22 (Periodic)
- Onsite Review by Child Record: 7 (Annual), 26 (Periodic)

2014 ITCA Membership Meeting
Type of Direct Service Providers

- Non-Profit Agencies: 38
- For Profit Agencies: 28
- Private Providers not Associated with an Agency: 25
- State Employees: 16
- Municipal Employees: 11

2014 ITCA Membership Meeting
Infant and Early Childhood Social and Emotional Development, Behavioral and Mental Health in Early Intervention
The purpose of the study: To learn how social and emotional, behavioral and mental health issues are addressed in Part C programs.
Programs/Agencies that serve this population

- Other: 6
- Hospitals: 15
- Outpatient Clinics/Private Therapists: 21
- Community Mental Health Center: 21
- Home Visiting Programs: 24
- Part C Early Intervention: 37

2014 ITCA Membership Meeting
Payor Source for SE Services

- Other: 7
- Grants: 11
- CYSHCN: 16
- Private Insurance: 24
- Medicaid: 35
- Part C Funds: 36

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Eligibility for Services

- Only with a DC 0-3 R Diagnosis: 2
- Only with a DSM Diagnosis: 5
- Other: 8
- At Risk Determination: 10
- Without a Diagnosis or Label: 28

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Available Services

- Other Treatment: 10
- Other: 14
- Dyadic Treatment: 19
- Play Therapy: 20
- Evidence-based Parenting Program: 21
- Parent Counseling: 28
- Family Counseling: 28
- ABA Services: 30

2014 ITCA Membership Meeting
SE Assessment Practices

- **General Tool covering all Domains, Mental Health Professional assesses SE Domain**
  - Required Tool specific to SE, Any Provider: 4
  - No Required Tool or Method: 5
  - General Tool covering all Domains, Any Provider: 24

2014 ITCA Membership Meeting
Assessment Tools Identified

- ASQ-SE
- Battelle
- Bayley
- DACY
- BDI-II
- SEAM
- ITSEA
- Greenspan
- DECA
Role of Mental Health Professionals

- Consultation to Children in Group Care: 15
- Eligibility Determination: 20
- Service Provision to Family: 23
- Service Provision to Child: 23
- Service Planning: 25
- Provider Consultation: 27

2014 ITCA Membership Meeting
State Strategic Plan for Children’s health

- Separate Plan for mental health: 1
- Plan includes mental health for older children, not B-3: 1
- No Plan: 2
- No awareness of a Strategic Plan: 11
- Plan includes mental health: 17

2014 ITCA Membership Meeting
### Ages Covered

- Three-6: 1
- Three on: 1
- Prenatal - 3rd grade: 1
- Prenatal - 18: 1
- Birth - 8: 2
- Birth - 5: 2
- Birth - 3: 2
- From Birth: 3
- Birth - 18: 4

2014 ITCA Membership Meeting
Advocates for EC Mental Health

- No Champions: 1
- State Mental Health Association: 12
- Other State Associations: 12
- Family Advocacy Groups: 13
- State Infant Mental Health Association: 21

2014 ITCA Membership Meeting
Lunch Discussion
Washington Update
The Real World

Washington DC
Agenda

- Context
- Election for 114\textsuperscript{th} Congress
- Budget & Funding
- Early Learning
- Actions of 113\textsuperscript{th} Congress
- What’s Next?
113\textsuperscript{th} Congress – 2\textsuperscript{nd} Session

- Recess Aug 4\textsuperscript{th} to Sep 8\textsuperscript{th}
- Target Adjournment Date – end of September
- Election of 114\textsuperscript{th} Congress November 2014
- 114\textsuperscript{th} Congress convenes in January 2015
- Only 86 bills signed into law by April 2014
- About 20 days left in 2\textsuperscript{nd} session
- Many more members are working well together; but little progress on issues important to both parties
- \textit{Lame Duck session after the election}
Number of bills introduced and enacted by Congress

Congressional two-year terms

Source: Library of Congress
*Data regarding 113th Congress current as of May 2, 2014
New USA Today Poll - Spring 2014

Do you approve of the way the U.S. Congress is doing its job?

- Strongly approve 2%
- Somewhat approve 17%
- Somewhat disapprove 29%
- Strongly disapprove 48%
- Don’t know/no opinion 4%
Congressional Champions

Thank You
Tom Harkin &
George Miller
U.S. Federal Spending – Fiscal Year 2013 ($ Billions)

Total $3,454 B

- Medicare & Medicaid: 851 (25%)
- Defense Department: 625 (18%)
- Non-Defense Discretionary: 576 (17%)
- Other Mandatory: 373 (11%)
- Net Interest: 221 (6%)

Source Data: CBO Historical Tables
# IDEA FY 2013 Sequestration

<table>
<thead>
<tr>
<th>Program</th>
<th>Amount</th>
<th>Decrease of</th>
</tr>
</thead>
<tbody>
<tr>
<td>IDEA Part B</td>
<td>$10.97 Billion</td>
<td>$602 Million</td>
</tr>
<tr>
<td>IDEA Part B Section 619</td>
<td>$353.24 Million</td>
<td>$19 Million</td>
</tr>
<tr>
<td>IDEA Part C</td>
<td>$419.65 Million</td>
<td>$23 Million</td>
</tr>
<tr>
<td>IDEA Part D</td>
<td>$225.14 Million</td>
<td>$13 Million</td>
</tr>
<tr>
<td>SpEd Research</td>
<td>$47.30 million</td>
<td>$2.5 Million</td>
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</tbody>
</table>
Government Shut Down over FFY 2014

- No agreement was reached by 9/30/13
- Shutdown started October 1, 2013 – first day of the 2014 fiscal year
- After much arguing, debating, pointing fingers, meetings and sending bills back and forth …
- October 16th hours before the midnight deadline on debt ceiling …
- Agreement reached
#1- Budget Deal Reached In December 2013

- Ryan (WI) and Murray (WA) announced budget deal $1.012 trillion

- Two year agreement for 2014 and 2015

- Halfway between the Senate’s $1.058 trillion and House’s $967 billion

- $65 billion in automatic spending cuts restored through Sept. 30, 2015

- No sequestration for 2 years

- July 2014 House Budget Committee Chairman Paul Ryan (R-WI) released a “discussion draft” of his budget plan to fight poverty [Expanding Opportunity in America](#)
#2 - Appropriations Bill for 2014

- Agreement reached based on budget
- Consolidated Appropriations Act of 2014
- No sequester
- Passed House on January 15, by 359-67
- Passed Senate on January 16, by 72-26
- President signed omnibus bill on January 17th
- 2.6 percent increase over the post-sequester budget for FY 2013.
FFY 2014 Funding Levels

- Part B of IDEA - $500 million increase
- IDEA Preschool 619 - no increase
- Part C of IDEA - $19 million increase
- $154 million increase for the CCDBG
- $194 million increase for WIC
- $1.025 billion increase for Head Start
President’s Budget – FFY 2015

- **IDEA Part C early intervention**: a $3.3 million increase for early intervention.

- **IDEA 619 special education**: frozen at last year’s funding level.

- **Voluntary home visiting (MCVIE)**: $100 million increase in mandatory funds over the current authorized levels for a total of $500 million in FY 2015; a request for $15 billion over ten years.
President’s Budget FFY 2015

- **Head Start, Early Head Start**: $270 million increase in discretionary funds,
- **Early Head Start-Child Care Partnerships**: $800 million increase in discretionary funds
- **Preschool Development Grants**: an additional $250 million increase in discretionary funds
- **Child Care & Development Block Grant**: $57 million increase in discretionary funds
FFY 2015 Funding

- Starts October 1, 2014
- States receive these IDEA funds July 1, 2015
- House has passed 7/12 appropriations bills
- Senate has passed 8 out of committee, but none have been brought to floor
- Continuing Resolution (CR) must be enacted by midnight September 30th
- Expected to be a clean CR into December
- Another CR will probably be needed in December until final agreement can be reached by the new 114th Congress
- Then omnibus or minibus to set final sending levels
FFY 2014 Appropriations Bill
New Preschool Grants

- $250 million for grants to States for preschool grants
- Several application public comment periods – over 500 comments and one public hearing
- Applications due October 14, 2014
- Grants to be awarded to states by December 2014
- Both Development and Expansion grants available
- Jointly administered by Education and HHS
- States apply for grants to build the capacity to develop, enhance or expand high-quality preschool programs
FFY 2014 Appropriation - Early Head Start/Child Care Partnerships

- ACF announced $500 million funding opportunity
- To expand access to high-quality, comprehensive services for low-income infants & toddlers & families
- Supports creation of Early Head Start – Child Care (EHS-CC) Partnerships and expansion of EHS
- Encourages collaboration between new/ existing EHS grantees & child care providers to improve quality & expand access to high-quality care.
- Applications were due 8/20/14
Race to the Top Early Learning

- First year of the RTT-ELC competition, 9 states funded (CA, DE, MA, MD, MN, NC, OH, RI, WA)

- In 2012, five more states (CO, IL, NM, OR, and WI) were funded

- Six new awards, $280 million in Fall 2013 Georgia, Kentucky, Michigan, New Jersey, Pennsylvania, and Vermont

- More information about the Race to the Top-Early Learning Challenge
85% of voters rank “ensuring children get a strong start” as an important national priority

Only “increasing jobs and economic growth” has more priority

Ranked above “improving the quality of our public schools” and “reducing the tax burden on families.”

76% of voters want Congress/President to act within 2 years

Swing voters supported priority -
  - 80% of Hispanics
  - 75% of moderates
  - 72% of suburban women

Back to school advocacy efforts underway
New Early Learning Legislation

- S. 1697 and H.R. 3461
- “Strong Start for America’s Children Act”
- Introduced in November 2013 to authorize the President's Early Learning initiative
- No mandatory funding; does not include the tobacco tax increases
- Funds dependant on the annual appropriations process
Outline of the Bill

- Title I – Prekindergarten Access
- Title II – Early Learning Quality Partnerships
- Title III – Child Care
- Title IV – Maternal, Infant and Early Childhood Home Visiting Program
- Sense of the Senate
Coalition Recommendations Submitted Related to IDEA

- **New purpose statements** “to increase access to appropriate supports so children with disabilities and other special populations can fully participate in high quality early education programs”

- **Funding set-aside** for preschool students with disabilities reserve, at a minimum, **5% for 619**

- **Funding set-aside** for infants & toddlers with disabilities reserve, at a minimum, **5% for Part C**

- New expanded **screening requirements**
Chairman Harkin’s bill was voted out of committee for floor consideration in May 2014

Senator Alexander offered amendment “Early Learning Innovation and Flexibility Act of 2014”

Block grant of federal early childhood programs at state level including IDEA

Voted down on party lines

Passage is on Harkin’s wish list to complete before he retires
House Plans

- House Education and Workforce Committee Chairman John Kline (R-MN):

  - “The president and I agree more can be done to help kids get an early start on the path to success,… However, with 45 federal programs tied to early childhood development and a $13 billion annual taxpayer investment, we owe it to the American people to examine the strengths and weaknesses of current initiatives before crafting new ones.”
Child Care and Development Block Grant Act of 2013

- S. 1086 – Senate passed 96-2
- To reauthorize the Child Care and Development Block Grant (CCDBG)
- First time in over 17 years.
- Bipartisan bill requires states ensure child care providers receiving CCDBG funding:
  - Comprehensive background check,
  - Basic minimum training in health and safety practices, and
  - An annual unannounced inspection.
Chairman Klein - “Senate passage of legislation to reauthorize the Child Care and Development Block Grant is a step forward in the shared goal of strengthening the nation’s existing network of early childhood services. The bill includes several commonsense provisions that will help empower parents and enhance coordination between CCDBG and other federal early care programs, such as Head Start. The committee will convene a hearing on March 25, 2014 to examine House priorities for CCDBG, and I look forward to a productive discussion as we work to find common ground and complete the reauthorization of this important program.”
House and CCBDG

- September 8th Child Care Aware of America is hosting a Congressional briefing

- Major push before election

- Franken (D-MN) and Rep. Clark’s (D-MA) infant and toddler bills to strengthen the quality of infant and toddler child care.

- Rep. Lois Frankel (D-FL) the Working Families Child Care Act

- No time for conference committee to resolve differences

- Given timeline, many suggest vote on the Senate bill
Some Successes

- Home Visiting was facing hard sunset September 30, 2014 and received an extension in March 2014

- Workforce Innovation and Opportunity Act (WIOA), signed by President Obama on July 22 reauthorizes the nation’s workforce development and adult education programs

- Public comment period on implementation, specifically in implementing amendments to the Rehabilitation Act of 1973 and new version of the Adult Education and Family Literacy Act (AEFLA)
Autism CARES Act


- Designates official in HHS to oversee the implementation of the law and ensure accountability;

- Changes the make-up and authority of the Interagency Autism Coordinating Committee (IACC);

- Authorizes a review and federal report concerning challenges of youth with autism spectrum disorders (ASD) transitioning from school to post-secondary education, employment, and adult supports and services; and

- Focuses attention to the provision of respite services for families of children and adults with ASD.
ESEA Reauthorization

- Was scheduled for 2007
- Bills introduced since then but no progress
- More and more districts in more and more states not meeting Adequate Yearly Progress
- 2014 deadline of 100% proficiency
- Bill passed House and Senate HELP
- IDEA reauthorization is after ESEA
- Administration established waivers
ESEA Administrative Waivers
Established in Sept 2011

4 Conditions:
- Adopt College & Career Ready Standards
- Develop Assessments that Measure Student Growth
- Develop Differentiated Accountability System
- Develop Guidelines for Local Teacher and Principal Evaluations Based on Effectiveness
What Was Waived?

- 43 States, the District of Columbia and Puerto Rico have ESEA flexibility waivers
  - Remove 2014 AYP deadline
  - Funding Flexibility
  - Changes to Accountability
  - Flexibility for HQT Plans
  - Extensions being approved now
Teacher Evaluation: A SHIFT IN FOCUS

Highly Qualified → Highly Effective

Inputs

Outputs
August 21, 2014 Announcement

- Requirement to use student outcomes in teacher evaluations was set for 2014-15

- U.S. Department of Education announced opportunity for SEAs transitioning to new assessments to have an additional year until 2015-16 to incorporate student growth on State assessments into teacher evaluations
Other Agenda – 113th Congress

- Education Sciences Reform Act
- TANF
- Developmental Disabilities Act
- SAMHSA
- Head Start Act
- Higher Education
- Child Care Development Block Grant
- ESEA
- IDEA
More Bills...

- S. 1968/H.R. 4000 Scholarship for Kids Act turns more than half of federal education funds into vouchers

- S. 1909 The CHOICE Act includes IDEA funds in the voucher plan

- IDEA Fairness Restoration Act
  To track bills and get bill language
  http://thomas.loc.gov/home/thomas.php
Restraint and Seclusion

- “Keeping All Students Safe Act” H.R. 1893
- George Miller (D-CA), and Gregg Harper (R-MS)
- Senator Harkin introduced the “Keeping All Students Safe Act” S. 2036
- Has been a 4 year effort
- March 2012, the Civil Rights Data Collection reported nearly 40,000 students physically restrained during the 2009-10 school year
- Data also showed restraint and seclusion are disproportionately used upon students with disabilities and minority students
Convention on the Rights of Persons with Disabilities (CRPD)

- President signed treaty on behalf of US in 2009
- Submitted to Senate in 2012 for ratification
- Senate vote on the treaty fell five votes short
- The treaty requires no changes to U.S. laws or new appropriations.
- 156 countries have signed onto the treaty
- 134 countries have ratified the treaty
- Major push before Congress adjourns
Online Updates

- Dept Education's **Office of Early Learning**

  list serv and monthly newsletter at

  www.ed.gov/early-learning

- **White House Disability Group**

  email  disability@who.eop.gov and provide your full name, city, state, and organization.

- **Bi weekly Ed Review email** Adam.Honeysett@ed.gov
“We in America do not have government by the majority. We have government by the majority who participate.”

— Thomas Jefferson
Thank You!
Child Outcomes
Child Outcome A: Statement

2014 ITCA Membership Meeting
Child Outcome A: Statement

**2009**

Range: 23.1 - 100

**2012**

Range: 24 - 100
Child Outcome A: Statement 1 by Eligibility

Median Percentage

Category A
Category B
Category C

2009 2010 2011 2012
Child Outcome A: Statement 1 by Lead Agency

![Graph showing median percentage for Health, Education, and Other categories from 2009 to 2012. The graph indicates a general decrease in median percentage for Education and a slight increase for Other.](2014 ITCA Membership Meeting)
Child Outcome A: Statement

2009 2010 2011 2012

Average

Median

2014 ITCA Membership Meeting
Child Outcome A: Statement

Range: 21.3 - 92

Range: 22 - 91
Child Outcome A: Statement 2 by Eligibility

2014 ITCA Membership Meeting
Child Outcome A: Statement 2 by Lead Agency

2009 2010 2011 2012

- Health
- Education
- Other

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Child Outcome B: Statement

2009 2010 2011 2012

Average

Median

Percentage

2014 ITCA Membership Meeting
Child Outcome B: Statement

![Graphs showing data for 2009 and 2012 with ranges 33.9 - 100 and 30 - 100 respectively.]

2014 ITCA Membership Meeting
Child Outcome B: Statement 1 by Eligibility

2014 ITCA Membership Meeting
Child Outcome B:
Statement 1 by Lead Agency

![Chart showing mean percentage trends for Health, Education, and Other categories from 2009 to 2012.](chart_image)
Child Outcome B: Statement

![Graph showing percentage values for Average and Median over years 2009 to 2012]
Child Outcome B: Statement 2 by Eligibility

- Median Percentage

2009 2010 2011 2012

Category A
Category B
Category C

2014 ITCA Membership Meeting
Child Outcome B: Statement

Range: 7.3 - 92

Range: 9.7 - 87
Child Outcome B: Statement 2 by Lead Agency

2014 ITCA Membership Meeting
Child Outcome C: Statement

2009 2010 2011 2012

Percentage

Average
Median

2014 ITCA Membership Meeting
Child Outcome C: Statement

Range: 19 - 100

Range: 25.6 - 100
Child Outcome C: Statement 1 by Eligibility

![Graph showing Mean Percentage by Year for Categories A, B, and C from 2009 to 2012.](image-url)

- **Category A**: Generally increasing trend from 2009 to 2012.
- **Category B**: Fluctuating trend with a peak in 2011 and a decline in 2012.
- **Category C**: Decreasing trend from 2009 to 2012.

2014 ITCA Membership Meeting
Child Outcome C: Statement 1 by Lead Agency

2009 2010 2011 2012
Child Outcome C: Statement

2009 2010 2011 2012

2014 ITCA Membership Meeting
Child Outcome C: Statement

Range: 12 - 96

Range: 15.4 - 93.5
Child Outcome C: Statement 2 by Lead Agency

2014 ITCA Membership Meeting